

06-25-01

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BAKER BOTTS LLP

Please type a plus sign (+) inside this box



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	A32436-PCT-USA-A-072
First Inventor	BERTRAM JACOBS
Title	VIRAL VECTORS * see attached
Express Mail Label No.	EF321684821US

06/22/01 U.S. PTO
06/22/01 U.S. PTO
06/22/01 U.S. PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
4. Claim(s) [Total Sheets 2]
 Abstract of the Disclosure [Total Sheets 1]
5. Oath or Declaration [Total Pages 3]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies
9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: PCT/US00/10948

Prior application information:

Examiner _____

Group Art Unit: _____

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21003		<input type="checkbox"/> Correspondence address below
<i>(Insert Customer No. or Attach bar code label here.)</i>			
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Janet M. MacLeod		Registration No. (Attorney/Agent) 35,263
Signature	<i>Janet M. MacLeod</i>		Date 6/22/2001

Addendum Sheet 1***Question 4 Addendum**4.a. Drawings are formal informal*** Question 5 Addendum**5.c. An unsigned oath or declaration is included.*** Question 8 Addendum**8.d. A sequence submission will follow.*** Question 9 Addendum**9.a. Assignment documents will follow.9.b. Assignment documents have been filed in parent application No.*** Question 11 Addendum**11.a. English translation will follow.*** Question 12 Addendum**12.a. Copies of IDS citations will follow.*** Question 15 Addendum**15.a. Certified copies of priority documents will follow.15.b. Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

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Title (continued):

VIRAL VECTORS HAVING ENHANCED EFFECTIVENESS WITH REDUCED VIRULENCE

BAKER BOTTS LLP

Att Docket #: A32436-PCT-USA-A-072

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____
Prior application information: _____ Examiner _____ Group Art Unit: _____

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____
Prior application information: _____ Examiner _____ Group Art Unit: _____

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____
Prior application information: _____ Examiner _____ Group Art Unit: _____

Amend the specification by inserting before the first line the sentence(s)

"This application is a Continuation Divisional Continuation-in-part (CIP) of:

"This application is based upon:

prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:

prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:

prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:

prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:

prior application No.: _____, incorporated by reference herein,

which is a Continuation Divisional Continuation-in-part (CIP) of:

which is based upon:

prior application No.: _____, incorporated by reference herein

"

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

Foreign Applications:

Country: _____ Serial No: _____ Filing Date: _____

Country: _____ Serial No: _____ Filing Date: _____

Country: _____ Serial No: _____ Filing Date: _____

Provisional Applications:

Serial No: 60/136,277 Filing Date: May 27, 1999

Serial No: _____ Filing Date: _____

Serial No: _____ Filing Date: _____

BAKER BOTTS LLP

Patent fees are subject to annual revision

(S) 355

<i>Complete if Known</i>	
Application Number	A32436-pct-usa-a-072448.0309
Filing Date	June 21, 20001
First Named Inventor	BERTRAM JACOBS
Examiner Name	
Group Art Unit	
Attorney Docket No.	A32436-PCT-USA-A-072448.0309

<input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to																											
Deposit Account Number	02-4377																										
Deposit Account Name	Baker Botts LLP																										
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17																											
<input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27																											
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																											
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*Reduced by Basic Filing Fee Paid

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Complete (if applicable)				
Name (Print/Type)	Janet M. MacLeod	Registration No (Attorney/Agent)	35,263	Telephone
Signature			Date	June 22, 2001